

Certificate of Mailing Under 37 CFT § 1.8

I hereby certify that this correspondence and documents referred to herein (**Declaration & Drawings**) were deposited with the United States Postal Service addressed to:

MS Missing Parts Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

on the date shown below:

Date of Deposit: 12 Jan 04	_
Person Making Deposit: Michele M. Morris	Signature: <u>MUEL (M. M</u> W
Application Serial No10/661,401 - SD-8476	For Applicant(s): Harnett

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

EE TRANSMITTAL FOR FY 2004

Patent fees are subject to annual revision.

Small Entity payments <u>must</u> be supported by a small entity statement,
Otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

 Complete if Known

 Application Number
 10/661,401

 Filing Date
 September 11, 2003

 First Named Inventor
 Harnett

 Examiner Name
 NOT ASSIGNED

 Group / Art Unit
 NOT ASSIGNED

 Attorney Docket No.
 SD-8476

TOTAL AMOUNT OF PAYMENT (\$)1,432.00 METHOD OF PAYMENT (check one) FEE CALCULATION (continued) 3. ADDITIONAL FEES The Commissioner is hereby authorized to charge Large Entity Small Entity 1. M Indicated fees and credit any over payments to: Fee Fee Fee **Fee Description** Paid Deposit Account Code (\$) Code (\$) 50-0583 Number 130 2051 1051 65 Surcharge - late filing fee or oath 130.00 Deposit Account 1052 50 2052 25 Surcharge - late provisional filing fee or cover SNL BY KCO Name Charge Any Additional Fee Required Under 1053 130 1053 130 Non-English specification 37 CFR 1.16 and 1.17 1812 2.520 1812 2,520 For filing a request for reexamination Payment Enclosed: Requesting publication of SIR prior to 1804 920* 1804 920* Examiner action | Check Money Order Other 1805 1.840 1805 1.840 Requesting publication of SIR after Examiner **FEE CALCULATION** 55 1251 110 2251 Extension for reply within first month 1. BASIC FILING FEE 1252 420 2252 210 Extension for reply within second month Large Entity Small **Entity** 1253 950 2253 475 Extension for reply within third month Fee Fee Description Fee Paid Code (\$) Code (\$) 1254 1,480 2254 740 Extension for reply within fourth month 770. 1001 770 2001 370 Utility filing fee 1255 2.010 2255 1,005 Extension for reply within fifth month 1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal Reissue filina fee 1004 770 2004 385 1403 290 2403 Request for oral hearing Provisional filing 1005 160 2005 80 1451 1,510 138 1,510 Petition to institute a public use proceeding SUBTOTAL (1) \$770.00 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES 1501 1.330 2501 665 Utility issue fee (or reissue) 1502 460 2502 230 Design issue fee Extra Claims Reg. Fee Fee Paid 640 1503 2503 320 Plant issue fee 40 -20**= **20** x 18. 360. Total Claims 1460 130 1460 130 Petitions to the Commissioner 5 x 86. Independent - 3**= **2** = 172. Claims 1807 50 1807 50 Petitions related to provisional applications -0-= -0-Multiple Dependent 1806 180 1806 180 Submission of Information Disclosure Stmt or number previously paid, if greater; For Reissues, see below. 8021 40 8021 40 Recording each patent assignment per property Large Entity Small Entity (times number of properties) Fee Fee Description Code 1809 770 2809 385 Filing a submission after final refection (\$) Code (\$) (37 CFR 1.129(a)) 1201 84 2202 42 Independent claims in excess of 3 1202 18 2201 9 1810 770 2810 385 For each additional invention to be examined Claims in excess of 20 (37 CFR 1.129(b)) 1203 280 204 140 Multiple dependent claim, if not paid 1204 84 2204 42 **Reissue independent claims Other fee (specify) over original patent Other fee (specify) 1205 18 2205 **Reissue claims in excess of 20 and over original patent *Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$130.00-SUBTOTAL (2) (\$)532.00

SUBMITTED BY	<u> </u>	Complete (if applicable)	
Name (Print/Type)	Timothy P. Evans	Reg. Number 41,013 (Attorney/Agent)	Telephone (925) 294-3690
Signature	James Tom	Date 1/8/2000	4-

WARNING: Information on this form may become public. Credit card information should not be Included on this form. Provide credit card information and authorization on PTO 2038

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR

COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

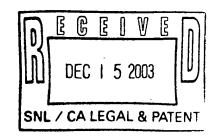
10/661,401

09/11/2003

Cindy K. Harnett

SD-8476

Timothy P. Evans MS 9031 Sandia National Laboratories 7011 East Avenue Livermore, CA 94550



CONFIRMATION NO. 6668 FORMALITIES LETTER OC000000011437847*

Date Mailed: 12/08/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/16/2004 SZEWDIE1 00000020 500583 10661401

01 FC:1001 770.00 DA 02 FC:1051 130.00 DA 03 FC:1201

04 FC:1202

FILED UNDER 37 CFR 1.53(b)

172.00 DA 360.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(I) and (p)(1)); See Figure(s) 6.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$532 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1432 for a Large Entity

- (\$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$532
 - \$172 for 2 independent claims over 3.
 - \$360 for 20 total claims over 20.



Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE